

ATTORNEY'S DOCKET NO: 82295

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>24</u> April 2001 (<u>24</u> .04.2001)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPL. NO. <u>66</u> known: <u>09/830116</u> Not yet Assigned
INTERNATIONAL APPLICATION NO.: PCT/CN99/00061	INTERNATIONAL FILING DATE: 26 April 1999 (26.04.99)	PRIORITY DATE CLAIMED: N/A
TITLE OF INVENTION: IMPROVED HAMMER FOR A PIEZOELECTRIC ACTUATOR AND METHOD FOR PRODUCING SAME		
APPLICANT(S) FOR DO/EO/US: SHER, Tak Chi		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p>		
<p>TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 00/65668; INTERNATIONAL PUBLICATION DATE 02 NOVEMBER 2000; CONSISTING OF 9 PAGES INCLUDING; 1 COVER SHEET CONTAINING THE ABSTRACT, 4 PAGES TEXTUAL SPECIFICATION, 2 PAGES OF 8 CLAIMS; 2 SHEETS DRAWINGS; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; EXECUTED INVENTOR'S DECLARATION; ASSIGNMENT AND RECORDATION COVER SHEET; VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS; PCT/IPEA/416 NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT; PCT/IPEA 409 INTERNATIONAL PRELIMINARY EXAMINATION REPORT; PCT/IPEA/401 DEMAND; PCT/RO/101 REQUEST.</p>		

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U.S. APPLICATION NO.

(if known) not yet assigned

097830116

INTERNATIONAL APPLICATION NO.

PCT/CN99/00061

DATE: 24 April 2001 (2404.2001)

17. x The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO:.....\$860.00

International preliminary examination fee paid

to USPTO (37 CFR 1.482).....\$690.00

No international preliminary examination fee paid

to USPTO (37 CFR 1.482) but international search fee

paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00

Neither international preliminary examination fee

(37 CFR 1.482) nor international search fee

(37 CFR 1.445(a)(2)) paid to USPTO.....\$1000.00

International preliminary examination fee

(37 CFR 1.482) and all claims satisfied provisions

of PCT Article 33(2)-(4).....\$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 1000.00

\$ 1000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20
____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS

NO. FILED

NO. EXTRA

RATE

TOTAL

8 -20=

0

X \$ 18.00

\$

0.00

INDEPENDENT

2 - 3 =

02

X \$ 80.00

\$

0.00

Multiple dependent claims(s) (if applicable)

+ \$260.00

\$

0.00

TOTAL OF ABOVE CALCULATIONS =

\$

1000.00

Reduction by 1/2 for asserting small entity, if applicable.
(Note 37 CFR 1.9, 1.27, 1.28).

\$

500.00

SUBTOTAL =

\$

500.00

Processing fee of \$130.00 for furnishing the English translation later than ____ 20
____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

0.00

+

TOTAL NATIONAL FEE =

\$

500.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).

\$

40.00

\$40.00 per property +

TOTAL FEES ENCLOSED =

\$

540.00

Amount to be:

refunded

\$

charged

\$

ATTORNEY'S DOCKET NO: 82295

U.S. APPLICATION NO. (if known) not yet assigned 09/830116	INTERNATIONAL APPLICATION NO. PCT/CN99/00061	DATE: 24 April 2001 (24.04.2001)
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a. ☒ Two checks in the amount of \$540.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$_____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

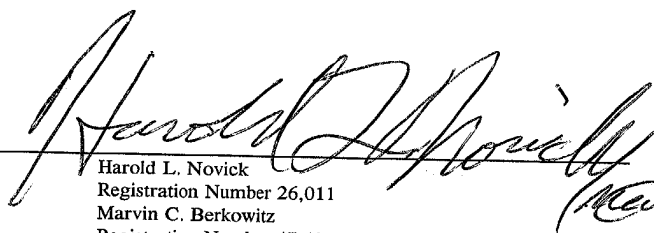
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038 ☐

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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09/830116

IC16 Rec'd PCT/BOX PCT APR 2001
Attorney Docket No. 82295

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHER, Tak Chi

International Application No. PCT/CN99/00061

Serial No. NOT YET ASSIGNED

International Filing Date: 26 April 1999 (26.04.99)

Filed: April _____, 2001

For: **IMPROVED HAMMER FOR A PIEZOELECTRIC ACTUATOR AND METHOD FOR
PRODUCING SAME**TRANSMITTAL LETTERCommissioner of Patents
Washington, D.C. 20231

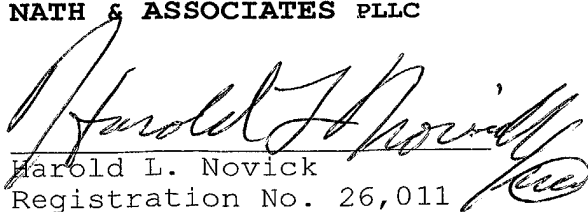
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark
Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office
(DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 00/65668
International Publication Date: 02 November 2000 (02.11.00)
which consists of 9 pages including:
 - 1 cover sheet containing the Abstract
 - 4 pages Textual Specification
 - 2 Pages of 8 claims
 - 2 Sheets of Drawings;
- (4) PCT/ISA/210 International Search Report;
- (5) Executed Inventor's Declaration;
- (6) Assignment and Recordation Cover Sheet;
- (7) Verified Statement Claiming Small Entity Status;
- (8) PCT/IPEA/416 Notification of Transmittal of International
Preliminary Examination Report;
- (9) PCT/IPEA/409 International Preliminary Examination Report
- (10) PCT/IPEA/401 Demand;
- (11) PCT/RO/101 Request;
- (12) Check No. 14624 \$500.00 for Government Filing Fee as a
small entity;
- (13) Check No. 14625 \$ 40.00 for recordation fee
- (14) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Harold L. Novick
Registration No. 26,011
Marvin C. Berkowitz
Registration No. 47,421
Customer No. 20529Date: April 24, 2001

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HLN/MCB/dd:PCTappl.trans

09/830116

patent application serial no.

*Department of Commerce
Patent and Trademark Office
fee record*

04/26/2001 LLANDGRA 00000003 09830116

01 FC:961

500.00 DP